**Annexure – B**

# M/s . Basan Equity Broking Limited

## Investor Complaints Data (September-2026 month ending)

| SN | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the endof the month** | | Average Resolution time^ (in days) |
|---|---|---|---|---|---|---|---|---|
| | | | | | | Pending for less than3 months | Pending for more than 3 months | |
| **1** | **2** | **3** | **4** | **5** | **6** | **7** | | **8** |
| 1 | Directly from Investors | 0 | 0 | 0 | NA | 0 | | 0 |
| 2 | SEBI (SCORES) | 0 | 1 | 0 | 1 | 0 | | 2 |
| 3 | Stock Exchanges | 0 | 0 | 0 | NA | 0 | | 0 |
| 4 | Other Sources (**CDSL** & **NSDL**) | 0 | 0 | 0 | 0 | 0 | | 0 |
| 5 | **Grand Total** | 0 | 0 | 0 | NA | 0 | | 0 |

**Trend of monthly disposal of complaints**

| SN | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|---|---|---|---|---|---|
| **1** | **2** | **3** | **4** | **5** | **6** |
| 1 | April -2026 | 0 | 0 | NA | 0 |
| 2 | May-2026 | 0 | 0 | NA | 0 |
| 3 | June-2026 | 0 | 0 | NA | 0 |
| 4 | July-2026 | 0 | 0 | NA | 0 |
| 5 | August-2026 | 0 | 0 | NA | 0 |
| 6 | September-2026 | 0 | 0 | NA | 0 |
| | **Grand Total** | 0 | 0 | 0 | 0 |

**Trend of annual disposal of complaints**

| SN | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|---|---|---|---|---|---|
| 1 | 2023-24 | 0 | 1 | 1 | 0 |
| 2 | 2024-25 | 0 | 0 | 0 | 0 |
| 3 | 2025-26 | 0 | 4 | 4 | 0 |
| 4 | 2026-27 | 0 | 0 | 0 | 0 |
| | **Grand Total** | 0 | 5 | 5 | 0 |