

Annexure – B**M/s . Basan Equity Broking Limited****Investor Complaints Data (March-2022 month ending)**

| S N | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolv ed* | Pending at the endof the month** | | Average Resolution time^ (in days) |
|--------|--------------------------------------|---|---------------------------------|------------------|---------------|--|---|---|
| | | | | | | Pending for less than3 months | Pending for more than 3 months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1 | Directly from Investors | 0 | 0 | 0 | NA | 0 | | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | NA | 0 | | 0 |
| 3 | Stock Exchanges | 0 | 0 | 0 | NA | 0 | | 0 |
| 4 | Other Sources (CDSL & NSDL) | 0 | 0 | 0 | NA | 0 | | 0 |
| 5 | Grand Total | 0 | 0 | 0 | NA | 0 | | 0 |

Trend of monthly disposal of complaints

| SN | Month | Carried forward from month | Received | Resolved* | Pending** |
|-----------|--------------------|-----------------------------------|-----------------|------------------|------------------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | April -2021 | 0 | 0 | NA | 0 |
| 2 | May-2021 | 0 | 2 | 2 | 0 |
| 3 | June-2021 | 0 | 1 | 1 | 0 |
| 4 | July-2021 | 0 | 2 | 2 | 0 |
| 5 | Aug-2021 | 0 | 1 | 1 | 0 |
| 6 | Sept-2021 | 0 | 0 | NA | 0 |
| 7 | Oct-2021 | 0 | 0 | NA | 0 |
| 8 | Nov-2021 | 0 | 0 | NA | 0 |
| 9 | Dec-2021 | 0 | 0 | NA | 0 |
| 10 | Jan-2022 | 0 | 0 | NA | 0 |
| 11 | Feb-2022 | 0 | 0 | NA | 0 |
| 12 | Mar-2022 | 0 | 0 | NA | 0 |
| | | | | | |
| | Grand Total | 0 | 6 | 6 | 0 |

Trend of annual disposal of complaints

| SN | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|-----------|--------------------|---|---------------------------------|---------------------------------|---------------------------------------|
| 1 | 2017-18 | 0 | 2 | 2 | 0 |
| 2 | 2018-19 | 0 | 28 | 28 | 0 |
| 3 | 2019-20 | 0 | 26 | 26 | 0 |
| 4 | 2020-21 | 0 | 11 | 11 | 0 |
| 5 | 2021-22 | 0 | 6 | 6 | 0 |
| 6 | 2022-23 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 73 | 73 | 0 |