## <u> Annexure – B</u>

# M/s . Basan Equity Broking Limited

# Investor Complaints Data (January-2023 month ending)

S N	Received	Carried				Average		
N	from	forward from previous month	during the month	Pending	ed*	the month**		Resolution time^ (in days)
						Pending for less than3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	NA		0	0
2	SEBI (SCORES)	0	0	0	NA		0	0
3	Stock Exchanges	0	1	0	1	0		10
4	Other Sources (CDSL & NSDL)	0	0	0	NA	0		0
5	Grand Total	0	0	0	NA		0	0

## Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April -2022	0	0	NA	0
2	May-2022	0	0	NA	0
3	June-2022	0	0	NA	0
4	July-2022	0	0	NA	0
5	August-2022	0	0	NA	0
6	September-2022	0	0	NA	0
7	October-2022	0	0	NA	0
8	November-2022	0	0	NA	0
9	December-2022	0	0	NA	0
10	January-2023	0	1	1	0
	Grand Total	0	1	1	0

## Trend of annual disposal of complaints

SN	Year	Carried forward	Received during	Resolved	Pending at
		from previous	the year	during the	the end of
		year		year	the year
1	2020-21	0	11	11	0
2	2021-22	0	6	6	0
3	2022-23	0	1	1	0
	Grand Total	0	18	18	0